

Electronic Acknowledgement Receipt

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| EFS ID: | 1939862 |
| Application Number: | 09911772 |
| International Application Number: | |
| Confirmation Number: | 3927 |
| Title of Invention: | Securities market and market maker activity tracking system and method |
| First Named Inventor/Applicant Name: | Stephen Cutler |
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| Filer: | Morris David Galin |
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| Submitted with Payment | yes |
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| RAM confirmation Number | 6316 |

| Deposit Account | | | | | |
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| File Listing: | | | | | |
| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Information Disclosure Statement (IDS) Filed | CUTLP0102USIDS2.pdf | 705402 1823476913aa052a0d17121558f50466 7d4114a | no | 4 |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | NPL Documents | CUTSP0102USA_ExcelReference.pdf | 278874 38270c439cab81addd63f11760ee004c7 59d0028 | no | 5 |
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| Information: | | | | | |
| 3 | Fee Worksheet (PTO-06) | fee-info.pdf | 8203 3461be6f5cb0bd77e5f88809376822967 ddc9075e | no | 2 |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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